



593/002 CIP 1 Cont.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert A. VanTassel et al.
Application No. : 10/656,647
Confirmation No. : 8112
Filed : September 4, 2003
For : FILTER APPARATUS FOR OSTIUM OF LEFT
ATRIAL APPENDAGE
Examiner : Not yet assigned
Group Art Unit : Not yet assigned

New York, New York 10020
December 5, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:


Transmitted herewith is an Information
Disclosure Statement in the above-identified patent
application, copies of the documents cited therein and
Form PTO-1449. This Statement is being submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97(b)(1),
submission of this Statement requires no fee. However, if
for any reason a fee is due, the Director is hereby

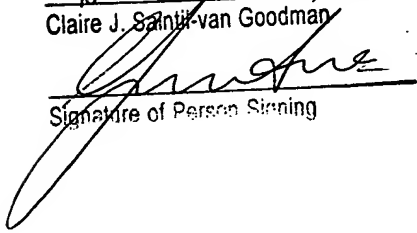
authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,


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Claire J. Santil-van Goodman


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Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following documents:

U.S. Patent Documents

<u>DOCUMENT No.</u>	<u>DATE</u>	<u>AUTHOR</u>
178,283	6/6/1876	French
1,967,318	7/24/1934	Monahan
3,844,302	10/29/1974	Klein
3,874,388	4/1/ 1975	King et al.
4,007,743	2/15/1977	Blake
4,341,218	7/27/1982	Ü
4,585,000	4/29/1986	Hershenson
4,603,693	8/5/1986	Conta et al.
4,665,906	5/19/1987	Jervis
4,710,192	12/1/1987	Liotta et al.
4,917,089	4/17/1990	Sideris

4,921,484	5/1/1990	Hillstead
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5,041,090	8/20/1991	Scheglov et al .
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5,064,435	11/12/1991	Porter
5,078,736	1/7/1992	Behl
5,108,420	4/28/1992	Marks
5,171,259	12/15/1992	Inoue
5,176,692	1/5/1993	Wilk et al.
5,192,301	3/9/1993	Kamiya et al.
5,256,146	10/26/1993	Ensminger et al.
5,258,042	11/2/1993	Mehta
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Foreign Patent Documents

<u>DOCUMENT No.</u>	<u>DATE</u>	<u>COUNTRY</u>
WO 93/13712	7/22/1993	PCT
WO 97/21402	6/19/1997	PCT
WO 97/28749	8/14/1997	PCT
WO 98/02100	1/22/1998	PCT
WO 98/17187	4/30/1998	PCT
WO 98/23322	6/4/1998	PCT
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Cragg et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire", Radiology Vol 147, No. 1, Pages 261-263, April 1983.

Cragg et al., "A New Percutaneous Vena Cava Filter", ALJ, 141:601-604, September 1983.

Sugita et al., "Nonsurgical Implantation of a Vascular Ring Prosthesis Using Thermal Shape Memory Ti/Ni Alloy (Nitinol Wire)", Trans. Am. Soc. Artif. Intern. Organs, Vol. XXXII, 30-34, 1986.

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Rashkind et al., "Nonsurgical Closure of Patent Ductus Arteriosus: Clinical Application of the Rashkind PDA Occluder System", Circulation 75, No. 3, 583-592, 1987.

Lock et al., "Transcatheter Umbrella Closure of Congenital Heart Defects", Circulation, Vol. 75, No. 3, 593-599, 1987.


Wessel et al., "Outpatient Closure of the patent ductus arteriosus", Circulation, Vol 77, No.5, 1068-1071, 1988.

Lock et al., "Transcatheter Closure of Atrial Septal Defects", Circulation, Vol. 79, No. 5, 1091-1099, May 1989.

Copies of the aforementioned documents, which are listed on the accompanying form PTO-1449 (submitted in duplicate) are enclosed herewith. It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

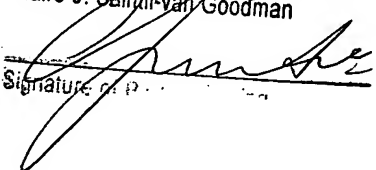
An early and favorable action is respectfully requested.

Respectfully submitted,


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STATEMENT BY APPLICANTS

DOCKET NO.

593/002 CIP1 Cont.

APPLICATION NO.

10/656,647

APPLICANTS

Robert A. VanTassel et al.

CONFIRMATION NO.

8112

FILING DATE

September 4, 2003

GROUP ART UNIT

Not yet assigned

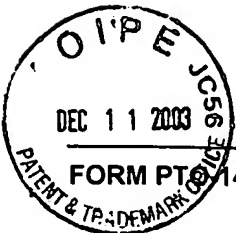
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	178,283	6/6/1876	French	604	109	
	1,967,318	7/24/1934	Monahan	604	106	
	3,844,302	10/29/1974	Klein	135	26	
	3,874,388	4/1/1975	King et al.	606	232	
	4,007,743	2/15/1977	Blake	606	232	
	4,341,218	7/27/1982	Ü	606	195	
	4,585,000	4/29/1986	Hershenson	606	194	
	4,603,693	8/5/1986	Conta et al.	227	179.1	
	4,665,906	5/19/1987	Jervis	606	78	
	4,710,192	12/1/1987	Liotta et al.	606	108	
	4,917,089	4/17/1990	Sideris	606	215	
	4,921,484	5/1/1990	Hillstead	604	104	
	5,037,810	8/6/1991	Saliba, Jr.	514	56	
	5,041,090	8/20/1991	Scheglov et al.	604	101.02	
	5,041,093	8/20/1991	Chu	604	104	
	5,042,707	8/27/1991	Taheri	606	213	
	5,053,009	10/1/1991	Herzberg	604	104	
	5,064,435	11/12/1991	Porter	623	23.7	
	5,078,736	1/7/1992	Behl	623	1	
	5,108,420	4/28/1992	Marks	606	213	
	5,171,259	12/15/1992	Inoue	606	213	
	5,176,692	1/5/1993	Wilk et al.	606	151	
	5,192,301	3/9/1993	Kamiya et al.	606	213	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.



FORM PT-1449

U.S. DEPARTMENT OF COMMERCE
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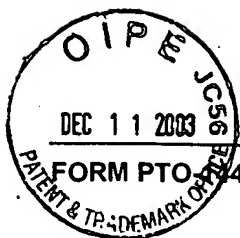
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,256,146	10/26/1993	Ensminger et al.	604	104	
	5,258,042	11/2/1993	Mehta	600	36	
	5,284,488	2/8/1994	Sideris	606	213	
	5,306,234	4/26/1994	Johnson	604	49	
	5,334,217	8/2/1994	Das	606	213	
	5,350,399	9/27/1994	Erlebacher et al.	606	213	
	5,353,784	10/11/1994	Nady-Mohamed	600	205	
	5,370,657	12/6/1994	Irie	606	200	
	5,375,612	12/27/1994	Cottenceau et al.	128	899	
	5,417,699	5/23/1995	Klein et al.	606	144	
	5,421,832	6/6/1995	Lefebvre	264	173.11	
	5,425,744	6/20/1995	Fagan et al.	606	213	
	5,433,727	7/18/1995	Sideris	606	213	
	5,443,454	8/22/1995	Tanabe et al.	604	264	
	5,451,235	9/19/1995	Lock et al.	606	213	
	5,464,408	11/7/1995	Duc	606	108	
	5,469,867	11/28/1995	Schmitt	128	898	
	5,490,856	2/13/1996	Person et al.	606	139	
	5,522,822	6/4/1996	Phelps et al.	606	151	
	5,522,836	6/4/1996	Palermo	606	200	
	5,527,322	6/18/1996	Klein et al.	606	144	
	5,527,338	6/18/1996	Purdy	606	200	
	5,591,196	1/7/1997	Marin et al.	606	198	

EXAMINER

DATE CONSIDERED

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FORM PTO-449

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STATEMENT BY APPLICANTSDOCKET NO.
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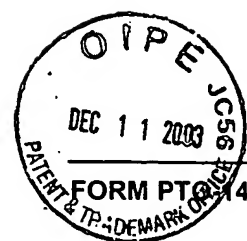
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,614,204	3/25/1997	Cochrum	424	423	
	5,634,936	6/3/1997	Linden et al.	606	213	
	5,634,942	6/3/1997	Chevillon et al.	623	1	
	5,637,097	6/10/1997	Yoon	604	176	
	5,643,292	7/1/1997	Hart	606	144	
	5,649,953	7/22/1997	Lefebvre	606	200	
	5,662,671	9/2/1997	Barbut et al.	606	170	
	5,669,933	9/23/1997	Simon et al.	600	200	
	5,681,347	10/28/1997	Cathcart et al.	606	200	
	5,690,671	11/25/1997	McGurk et al.	606	200	
	5,693,067	12/2/1997	Purdy	606	200	
	5,695,525	12/9/1997	Mulhauser et al.	623	11	
	5,702,421	12/30/1997	Schneidt	606	213	
	5,709,224	1/20/1998	Behl et al.	128	898	
	5,709,707	1/20/1998	Lock et al.	606	213	
	5,725,552	3/10/1998	Kotula et al.	606	213	
	5,725,568	3/10/1998	Hastings	623	11.21	
	5,733,294	3/31/1998	Forber et al.	606	151	
	5,735,290	4/7/1998	Sterman et al.	128	898	
	5,749,883	5/12/1998	Halpern	606	159	
	5,749,894	5/12/1998	Engelson	606	213	
	5,766,219	6/16/1998	Horton	606	191	
	5,769,816	6/23/1998	Barbut et al.	604	96	

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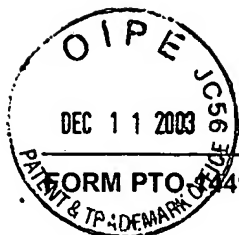
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	5,776,097	7/7/1998	Massoud	604	500	
	5,782,860	7/21/1998	Epstein et al.	606	213	
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	5,810,874	9/22/1998	Lefebvre	606	200	
	5,823,198	10/20/1998	Jones et al.	128	899	
	5,830,228	11/3/1998	Knapp et al.	606	195	
	5,836,913	11/17/1998	Orth et al.	604	107	
	5,836,968	11/17/1998	Simon et al.	606	200	
	5,846,260	12/8/1998	Maahs	606	200	
	5,846,261	12/8/1998	Kotula et al.	606	213	
	5,849,005	12/15/1998	Garrison et al.	606	1	
	5,851,232	12/22/1998	Lois	623	1	
	5,855,597	1/5/1999	Jayaraman	623	1	
	5,865,791	2/2/1999	Whayne et al.	604	49	
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	5,868,708	2/9/1999	Hart et al.	604	104	
	5,876,367	3/2/1999	Kaganov et al.	604	8	
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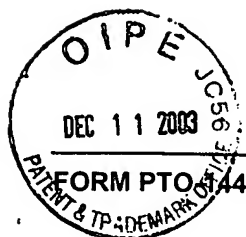
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,911,734	6/15/1999	Tsugita et al.	606	200	
	5,916,236	6/29/1999	Mujis Van de Moer et al.	606	213	
	5,928,192	7/27/1999	Maahs	604	96	
	5,928,260	7/27/1999	Chin et al.	606	200	
	5,935,147	8/10/1999	Kensey et al.	606	213	
	5,935,148	8/10/1999	Villar et al.	606	213	
	5,941,249	8/24/1999	Maynard	128	898	
	5,947,997	9/7/1999	Pavcnik et al.	606	213	
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	5,957,940	9/28/1999	Tanner et al.	606	155	
	5,976,174	11/2/1999	Ruiz	606	213	
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	6,010,517	1/4/2000	Baccaro	606	151	
	6,010,522	1/4/2000	Barbut et al.	606	200	
	6,024,754	2/15/2000	Engelson	606	213	
	6,024,755	2/15/2000	Addis	606	213	
	6,024,756	2/15/2000	Huebsch et al.	606	213	

EXAMINER

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FORM PTO-449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANTSDOCKET NO.
593/002 CIP1 Cont.APPLICANTS
Robert A. VanTassel et al.FILING DATE
September 4, 2003APPLICATION NO.
10/656,647CONFIRMATION NO.
8112GROUP ART UNIT
Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,027,520	2/22/2000	Tsugita et al.	606	200	
	6,033,420	3/7/2000	Hahnen	606	185	
	6,036,720	3/14/2000	Abrams et al.	606	213	
	6,042,598	3/28/2000	Tsugita et al.	606	200	
	6,048,331	4/11/2000	Tsugita et al.	604	96	
	6,051,014	4/18/2000	Jang	606	200	
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	6,056,720	5/2/2000	Morse	604	96	
	6,063,070	5/16/2000	Eder	606	1	
	6,068,621	5/30/2000	Balceta et al.	604	500	
	6,074,357	6/13/2000	Kaganov et al.	604	8	
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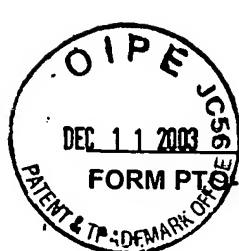
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

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STATEMENT BY APPLICANTSDOCKET NO.
593/002 CIP1 Cont.APPLICATION NO.
10/656,647APPLICANTS
Robert A. VanTassel et al.CONFIRMATION NO.
8112FILING DATE
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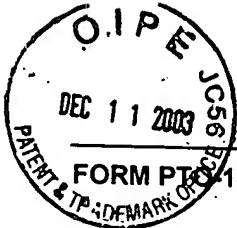
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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANTS

DOCKET NO. 593/002 CIP1 Cont:	APPLICATION NO. 10/656,647
APPLICANTS Robert A. VanTassel et al.	CONFIRMATION NO. 8112
FILING DATE September 4, 2003	GROUP ART UNIT Not yet assigned

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